



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CHANG, et al.

Serial No. 09/815,251

Filed: March 22, 2001

For: WATER-DISPERSIBLE, CATIONIC  
POLYMERS, A METHOD OF MAKING  
SAME AND ITEMS USING SAME

Art Unit: 1774

Examiner: Tamra Dicus

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of each cited item is enclosed unless stated otherwise hereinbelow.

As Applicants had knowledge of the disclosed art more than 3 months prior to the filing of this Information Disclosure Statement, pursuant to 37 C.F.R. §1.97(e)(2), the fee of \$180, as set forth in §1.17(p), is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Alexandria, VA 22313-1450 on May 21, 2003.

05/28/2003 MBIZUNES 00000044 09815251

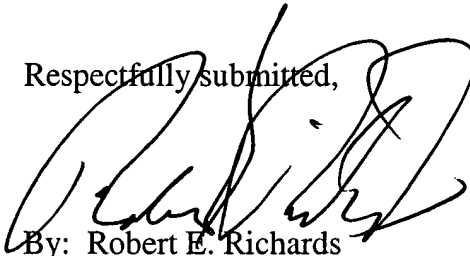
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Barbara F. Edwards

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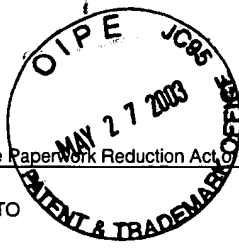
Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'Robert E. Richards', is written over the text 'Respectfully submitted,'.

By: Robert E. Richards

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Our Docket: 44040-251242 (11302-1040)



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<b>Substitute for Form 1449/A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		<b>Application Number</b>	<b>09/815,251</b>		
		<b>Filing Date</b>	<b>March 22, 2001</b>		
		<b>First Named Inventor</b>	<b>Chang et al.</b>		
		<b>Group Art Unit</b>	<b>1774</b>		
		<b>Examiner Name</b>	<b>Tamra Dicus</b>		
<b>Sheet</b>	<b>1</b>	<b>of</b>	<b>1</b>	<b>Attorney Docket Number</b>	<b>11302-1040 (44040-251242)</b>

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	2,892,822		Gray et al.	06-30-1959	
	2	5,397,672		Larson et al.	03-14-1995	
	3	5,441,841		Larson et al.	08-15-1995	
	4	5,459,007		Larson et al.	10-17-1995	
	5	5,525,449		Spiewak et al.	06-11-1996	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

**OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>

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Examiner Signature	Date Considered
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.